

Client Service Model

Elite \$500,000

- Comprehensive Financial Planning
 - Income Distribution Strategies
 - Estate Planning and Trust Review
 - Charitable Gifting Strategies
 - Executive Compensation Review
 - Deferred Compensation
 - Stock Options
 - Equity Positions
 - Disability Coverage Review
 - Survivor Needs Review
 - Long Term Care
 - Comprehensive Portfolio Management
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- Monthly Consulting Call
 - Quarterly Investment Review
 - Annual Retirement Plan Review
 - Annual Financial Plan Review
 - Annual Insurance Review
 - Education Funding Strategies
 - 20 Hours Service Time Annually
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- Monthly Newsletter
 - Weekly Market Update Email
 - Annual Birthday Dinner

Classic \$200,000

- Modular Financial Planning
 - Retirement Planning
 - Income Strategies
 - Investment & Insurance Review
 - Education Funding Strategies
 - Long-Term Care
 - Estate Planning Review
 - Asset Allocation Recommendations
 - Investment Portfolio Management
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- Quarterly Consulting Call
 - Semi-annual Investment Review
 - Annual Retirement Plan Review
 - Goal and Objectives Review
 - Investment Management
 - Asset Allocation
 - 10 Hours Service Time Annually
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- Monthly Newsletter
 - Weekly Market Update Email
 - Annual Birthday Lunch

Basic \$25,000

- Modular Financial Planning
 - Retirement Planning
 - Goal and Objectives Review
 - Asset Allocation Recommendations
 - Investment Portfolio Management
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- Annual Investment Review
 - Annual Retirement Plan Review
 - Goal and Objectives Review
 - Asset Allocation
 - 5 Hours Service Time Annually
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- Monthly Newsletter
 - Weekly Market Update Email

Client Pricing Model

Elite \$500,000

ASSET MANAGEMENT FEES

Maximum Advisory Fee 1.25%

- \$ 500,000 – 1.25% fee
- \$ 750,000 – 1.15% fee
- \$1,000,000 – 1.10% fee
- \$1,500,000 – 1.00% fee
- \$2,000,000 – 0.90% fee
- \$3,000,000 – 0.75% fee
- \$5,000,000 – 0.60% fee

COMMISSION PRODUCTS

FINANCIAL PLANNING FEES

- Comprehensive Financial Plan
\$1,000
- Financial Plan Module
\$400
- Annual Plan Review
\$500

Classic \$200,000

ASSET MANAGEMENT FEES

Maximum Advisory Fee 1.40%

- \$200,000 – 1.40% fee
- \$300,000 – 1.35% fee
- \$400,000 – 1.30% fee

COMMISSIONS PRODUCTS

FINANCIAL PLANNING FEES

- Comprehensive Financial Plan
\$1,200
- Financial Plan Module
\$500
- Annual Plan Review
\$600

Basic \$25,000

ASSET MANAGEMENT FEES

Maximum Advisory Fee 1.50%

- \$ 25,000 – 1.50% fee
- \$100,000 – 1.45% fee

COMMISSIONS PRODUCTS

FINANCIAL PLANNING FEES

- Comprehensive Financial Plan
\$1,500
- Financial Plan Module
\$700
- Annual Plan Review
\$800